

You Are  
Invited»

**HOSTED BY:**

**The WNK Group at Morgan  
Stanley Smith Barney**

**John Wingfield**

Vice President  
Financial Advisor

**Keith Norris**

Second Vice President  
Financial Advisor

**Matt Kuerzi**

Financial Advisor

**DATE:** Thursday, April 22

**TIME:** 12:00-1:00 p.m.

**PLACE:** Bristol Bar & Grille  
614 W. Main St.  
Louisville, KY 40202

***Practices That Define A Prudent Investment  
Process***

In the current environment, fiduciary responsibility is becoming an increasingly important, but confusing issue. Attending this program will help keep you abreast of the key information concerning this timely topic. At this educational presentation, we will discuss:

- Overview of the development of the 27 *Prudent Investment Practices* identified by the Foundation for Fiduciary Studies.
- Roles and responsibilities of the investment fiduciary.
- Outline a procedurally prudent investment process that supports the *Practices*.
- Benefits of having defined *Practices*.

***Around The World***

Globalization has brought about many positive changes in the financial marketplace. We will offer observations on both developed and emerging markets and address the expanding opportunity set.

*The program should be applicable to trustee and investment committee members, as well as accountants and attorneys.*

**PRESENTED BY:**

**Jean Fidone-Schroer, CIMA, AIF**

Accredited Investment Fiduciary  
Senior Vice President  
Atalanta Sosnoff

**Chad Nordhagen**

Vice President  
Lazard Asset Management LLC

**RSVP:** Please RSVP to Angie Soto, Registered Associate, at (502) 394-4054 or [angela.soto@smithbarney.com](mailto:angela.soto@smithbarney.com)

**Lunch will be served. Qualified guests are welcome.**

**This meeting qualifies for one (1) hour of continuing education credit for CFP, CPA, CIMA, and CIMC designations. Certificates will be mailed upon completion.**